الشـــرق للوســـاطة المالـــية
Al Sharq Financial Brokerage

Corporate Know Your Customer (KYC) Form

Company data

Name of the legal person:			
Commercial Register Number:		**Trading number:**	
Place of issue:		**Date of issuance:**	
Nature of the legal person's activity:			
Email:			

Income and investment data

1- Annual income (in Kuwaiti dinars):

☐ 1,000 – 10,000 KWD. ☐ 10,000 – 25,000 KWD. ☐ 25,000 – 50,000 KWD ☐ 50,000 - 100,000 KWD ☐ 100,000–250,000 KWD. ☐ 250,000 – 500,000 KW ☐ 500,000 – 1,000,000 KWD ☐ More than 1,000,000 KWD.

2 - Average wealth value (in Kuwaiti dinars): **Value (KWD).**

☐ 1,000 – 10,000 KWD. ☐ 10,000 – 25,000 KWD. ☐ 25,000 – 50,000 KWD ☐ 50,000 - 100,000 KWD ☐ 100,000–250,000 KWD. ☐ 250,000 – 500,000 KWD ☐ 500,000 – 1,000,000 KWD ☐ More than 1,000,000 KWD

3 - Capital value (in Kuwaiti dinars): **Value (KWD).**

☐ 1,000 – 10,000 KWD. ☐ 10,000 – 25,000 KWD. ☐ 25,000 – 50,000 KWD ☐ 50,000 - 100,000 KWD ☐ 100,000–250,000 KWD. ☐ 250,000 – 500,000 KWD, ☐ 500,000 – 1,000,000 KWD ☐ More than 1,000,000 KWD.

4 - Source of invested funds: Value (KWD).

☐ Capital ☐ Trading profits/investment returns. ☐ Financing / Loans. ☐ Other (please specify below).

5 - Expected account activity: ☐

Up to 30% of income.	Up to 50% of income.	5% of income or more.
☐	☐	☐

Commercail Reg. (16158), Paid Up Capital : K.D. 10,000,000
Sharq - Boursa Kuwait Building - 4th floor
Telephone No. (+965) 22248444 Fax No. (+965) 22405000
P.O. Box 187 Souk Dakhely, Postal Code 15252 - Kuwaiti

webmaster@sharqetrade.com

سجل تجاري (16158) رأس المال المدفوع 10,000,000 د.ك.
الشرق - مبنى بورصة الكويت - الدور الرابع
تلفون : 22248444 (965+) فاكس : 22405000 (965+)
ص.ب. 187 السوق الداخلي، رمز بريدي 15252 الكويت

Names of shareholders whose shareholding exceeds 25% of the company:

1		2	
3		4	

Authorized signatories for the legal person:

1		2	
3		4	

Client investment data and indicators

Investment objectives and strategy:

☐ Quick (short-term) returns. ☐ Long term returns, investment returns. ☐ Recurring returns. ☐ Speculation

Statement of the beneficial owner of the account

Does the company trade for its own account or for the account of other parties?

☐ For its own account. ☐ For the benefit of the following beneficiary/beneficiaries:

Name ....

Nature of the relationship: ☐

*If applicable, please provide us with a copy of valid documents identifying the beneficial owner(s) of the account.

Authorized to manage the trading account

1		2	
3		4	

I, the undersigned, hereby declare that the Company's account has not and will not be used for any transactions related to money laundering and terrorist financing operations. If the company finds that there is a violation of this, it has the right to take the necessary measures stipulated in Law No. 106 of 2013 and the provisions stated in Book Sixteen of the Executive Regulations regarding combating money laundering and terrorist financing.

I also acknowledge that the information provided, and the documents submitted are correct and complete and that I have read and understood the terms, conditions and applicable laws. I hereby undertake to update the information periodically and continuously and to inform Al Sharq Financial Broking Company in writing immediately upon any change thereto, without any liability on the part of

Al Sharq Financial Broking Company. I acknowledge that all orders issued by the company are recorded, preserved and can be retrieved upon request by the Authority or in cases determined by law.

1- ***Official market trading commissions:***

The market	Commission rate	Minimum commission value
the first	0.10%	(250 fils)
Main Market	0.15%	(250 fils)
Auction market	0.30%	(250 fils)
Settlement fees	-	500 fils on each transaction

2- Private Transactions Outside the Trading System (Agreed-upon Trades). ***Commission 0.20%.***

3- Commissions for trading in unlisted securities.

Type and value of the transaction	Commission rate	Minimum commission value
Stock trading commission	0.50%	3.000 KWD
Bond and Sukuk Trading Commission	0.01%	10.000 KWD
Special Transactions (Transaction Value):		
Less than 50,000 KWD	0.50%	
Between 50,000 and 249,999 KWD	0.40%	
Between 250,000 and 999,999 KWD	0.30%	
Between 1 million and 4,999,999 KWD	0.10%	
More than 5 million KWD	0.05%	

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Transferring shares between deposit and record accounts: 1,000 KWD per transaction.

Name of authorized signatory:	
Title:	
Signature:	
Date:	
Company Seal:	
Customer risk assessment : High☐ Medium☐ Low☐	